

AMERICAN METEOROLOGICAL SOCIETY

Journal of Physical Oceanography

EARLY ONLINE RELEASE

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The DOI for this manuscript is doi: 10.1175/JPO-D-13-0120.1

The final published version of this manuscript will replace the preliminary version at the above DOI once it is available.

If you would like to cite this EOR in a separate work, please use the following full citation:

Tulloch, R., R. Ferrari, O. Jahn, A. Klocker, J. Lacasce, J. Ledwell, J. Marshall, M. Messias, K. Speer, and A. Watson, 2014: Direct Estimate of Lateral Eddy Diffusivity Upstream of Drake Passage. J. Phys. Oceanogr. doi:10.1175/JPO-D-13-0120.1, in press.

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Direct Estimate of Lateral Eddy Diffusivity

Upstream of Drake Passage

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Abstract

The first direct estimate of the rate at which geostrophic turbulence mixes tracers 12 across the Antarctic Circumpolar Current is presented. The estimate is computed from 13 the spreading of a tracer released upstream of Drake Passage as part of the Diapycnal 14 and Isopycnal Mixing Experiment in the Southern Ocean (DIMES). The meridional 15 eddy diffusivity, a measure of the rate at which the area of the tracer spreads along 16 an isopycnal across the Antarctic Circumpolar Current, is $710\pm260 \text{ m}^2\text{s}^{-1}$ at 1500 m 17 depth. The estimate is based on an extrapolation of the tracer based diffusivity using 18 output from numerical tracers released in a $1/20^{th}$ of a degree model simulation of 19 the circulation and turbulence in the Drake Passage region. The model is shown to 20 reproduce the observed spreading rate of the DIMES tracer and suggests that the 21 meridional eddy diffusivity is weak in the upper kilometer of the water column with 22 values below 500 $m^2 s^{-1}$ and peaks at the steering level, near 2 km, where the eddy 23 phase speed is equal to the mean flow speed. These vertical variations are not captured 24 by ocean models presently used for climate studies, but they significantly affect the 25 ventilation of different water masses. 26

27 1 Introduction

At the latitudes of the Antarctic Circumpolar Current (ACC), waters from the Atlantic, 28 Indian and Pacific Oceans are brought to the surface by the Roaring Forties to be trans-29 formed into Subantarctic Mode Waters to the north and Antarctic Bottom Waters to the 30 south (Marshall and Speer, 2012). This global transformation of water masses is achieved 31 by intense air-sea exchange of heat, fresh water, carbon, and other chemical tracers in the 32 Southern Ocean and exerts a strong control on Earth's climate. Above the sill depth of the 33 Drake Passage, the circulation is dominated zonally by the ACC and meridionally by the 34 sum of a wind-driven meridonal overturning circulation (MOC) plus a MOC driven by the 35 turbulent eddies generated through instabilities of the ACC (Johnson and Bryden, 1989; 36 Speer et al., 2000; Marshall and Radko, 2003). The air-sea fluxes and Earth's climate are 37 therefore very sensitive to oceanic turbulence in the Southern Ocean. The current debate 38 as to whether Southern Ocean carbon uptake will increase or decrease in a warming climate 39 stems from different assumptions about the changes in oceanic turbulence (Russell et al., 40 2006; Abernathey et al., 2011). 41

Despite its importance for climate studies, there have not been direct observational estimates of the rate of mixing which drives the eddy-induced circulation across the ACC. Indirect estimates have been made, for example, by Stammer (1998) who used scaling laws and the surface geostrophic velocity from altimetry, and by Marshall et al. (2006) who drove numerical tracers by the altimetric velocity field. Phillips and Rintoul (2000) attempted to estimate the fluxes of heat and momentum from mooring data, but not the rate at which

tracers are mixed. Here we present the first direct measurements based on the spreading of 48 a tracer deliberately released as part of the Diapycnal and Isopycnal Mixing Experiment in 49 the Southern Ocean (DIMES). The mixing is quantified with an eddy diffusivity, which is 50 defined in terms of the spreading rate of the meridional distribution of the tracer, once it 51 asymptotes a constant. The eddy diffusivity is a tensor \mathbf{K} which quantifies the growth of 52 the patch in all three dimensions. Here we will focus on the component of the diffusivity 53 representing the tracer spreading along neutral density surface (isopycnal mixing) and across 54 the ACC, because this is the component that drives the eddy-induced MOC and plays an 55 important role in setting the strength of both the upper and lower overturning cells in the 56 Southern Ocean. 57

The goal of this paper is to infer an isopycnal diffusivity based on the lateral dispersion 58 of the anthropogenic tracer released in DIMES. The tracer was released on an isopycnal 59 surface near 1500 meters depth, at the interface between the upper and lower MOC cells, in 60 the Pacific sector of the Southern Ocean 2300 km upstream of the Drake Passage, midway 61 between the Polar Front and the Subantarctic Front. Ledwell et al. (2011) estimated that 62 after one year the tracer spread vertically to a Gaussian profile in density with a standard 63 deviation of less than 30 m relative to the target density surface, and was thus confined to 64 a very thin layer. 65

⁶⁶ Our analysis focuses on the first year of spreading when most of the tracer remained ⁶⁷ west of the Drake Passage; numerical simulations suggest that the leading edge of the tracer ⁶⁸ reached the Drake Passage after somewhat less than two years. We focus on measurements collected in the sector upstream of the Drake Passage, because the ACC jets are mainly zonal there. Past the Drake Passage, the jets strongly meander and it is difficult to separate along and across-jet dispersion. Furthermore, the tracer sampling downstream of the Drake Passage may not have been adequate to determine cross-stream isopycnal mixing as it was designed to estimate the diapycnal diffusivity; the tracer was sampled only along the individual transects shown in Fig. 1a with no attempt to map the whole tracer patch.

Due to the temporal and spatial scales involved, measuring isopycnal diffusivity by sam-75 pling a tracer spreading through the ocean is difficult, since only a fraction of the tracer 76 distribution can be directly sampled. Some method must be developed to extrapolate the 77 tracer measurements and infer where the unsampled tracer may have spread. Ledwell et al. 78 (1998) estimated the isopycnal diffusivity at the mesoscale in the North Atlantic pycnocline 79 by fitting a two-dimensional Gaussian to the tracer patch measured 30 months after release. 80 Assuming such a 2-D Gaussian is perhaps reasonable in a region with weak mean flows, 81 although even at their site Ledwell et al. (1998) suspected a role played by gyre-scale strain 82 in the mean flow in enhancing the apparent zonal diffusion. The assumption of 2-D Gaus-83 sian spreading cannot be used in the Southern Ocean, where the tracer is advected rapidly 84 downstream by the meandering ACC jets, at the same time being dispersed meridionally by 85 the turbulent eddies. Here, therefore, the tracer measurements have been extrapolated by 86 simulating the DIMES tracer release with a numerical model of the region, run at $1/20^{th}$ 87 of a degree horizontal resolution. The model output is compared with hydrography and 88 mooring observations (see Appendix B) and provides a link between the sub-sampled tracer 89

⁹⁰ distributions and the full tracer distribution.

⁹¹ Using the tracer sampled during the one-year tracer survey (called "US2"), together ⁹² with the numerical model, we estimate that the tracer experienced a meridional isopycnal ⁹³ diffusivity of $710 \pm 260 \text{ m}^2 \text{s}^{-1}$ over the first year after release. This value agrees with an ⁹⁴ independent estimate based on the dispersion of 72 acoustically-tracked isopycnal floats, ⁹⁵ deployed on the same isopycnal surface as the tracer (see LaCasce et al., 2014). The main ⁹⁶ objective of this paper is to explain how we obtained this estimate.

The isopycnal diffusivity estimated here is an isopycnal tracer diffusivity, not a lateral 97 buoyancy diffusivity. That is, we are discussing the Redi diffusivity, not the Gent-McWilliams 98 diffusivity using the jargon of non-eddy resolving climate models (see the discussion in the 99 textbook by Griffies, 2004). The isopycnal diffusivity is also the diffusivity that mixes po-100 tential vorticity thereby driving the overturning ocean circulation (e.g. Plumb, 1986). The 101 model suggests that the isopycnal tracer diffusivity increases from about $300 \text{ m}^2\text{s}^{-1}$ in the 102 upper ocean to 900 $m^2 s^{-1}$ at 2 km and decays rapidly below. The maximum in eddy diffu-103 sivity is near the steering level where the phase speed of the eddies equals the mean current 104 speed. This is consistent with the suggestion that the zonal mean flows suppress mixing 105 in the upper ocean, while the diffusivity is unsuppressed, and thereby enhanced, near the 106 steering level (Smith and Marshall, 2009; Abernathey et al., 2010; Klocker et al., 2012b). 107 The values of the diffusivity at the steering level from the present results are on the low side 108 of those reported in the literature which span 1000–3000 m^2s^{-1} (Smith and Marshall, 2009; 109 Klocker et al., 2012b; Abernathey et al., 2010). DIMES is the first study that relies on direct 110

estimates of tracer spreading, while all previous studies were only indirectly constrained by
data. Hence the DIMES estimates provide ground truth to derive better parameterizations
of eddy mixing for climate models.

Our paper is organized as follows. The DIMES tracer release, sampling, measurements and uncertainty are discussed in Section 2. The numerical model and its comparison with observations are discussed in Section 3. Section 4 derives our best estimate of the eddy diffusivity based on DIMES data and model output. Section 5 describes the modeled estimates of the vertical dependence of diffusivity using a set of tracers released at different depths. We conclude in Section 6.

¹²⁰ 2 The DIMES tracer release

In early February 2009 (Cruise US1), 76 kg of a passive chemical tracer (trifluoromethyl 121 sulphur pentaflouride, CF_3SF_5) were released from the Research Vessel Roger Revelle on 122 the 27.9 kg m⁻³ neutral density surface (near 1500 m depth) upstream of the Drake Passage 123 (58°S, 107°W) between the SAF and the PF (see Fig. 1a and Fig. 14). The tracer was released 124 in a rough 'x' pattern in an area about 20 km across. The injection system was maintained 125 within a few meters of the target isopycnal surface via a feedback control system, as described 126 in Ledwell et al. (1998). The tracer distribution was sampled within two weeks of the release, 127 and found to be confined to within 6 meters rms of the target density surface (Ledwell et al., 128 2011). 129

¹³⁰ The tracer was intentionally released in fluid whose eastward motion was biased low, in

order to facilitate initial sampling. The release location was guided by altimetry data indi-131 cating a stagnation point at depth, assuming the current to have an "equivalent barotropic" 132 structure (Killworth and Hughes, 2002). Further evidence of a small velocity was obtained 133 from a CTD survey conducted within 2 days of release in a 70-km box centered on the release 134 site. The magnitude of the geostrophic velocity at the center of the tracer patch estimated 135 from this survey, with surface geostrophic velocity from altimetry as reference, was less than 136 0.03 m/s. Low velocity of the tracer patch was at least partially confirmed by the observation 137 that all of the stations at which tracer was found during the initial survey, 4 to 14 days after 138 release, were within 10 km of the center of the initial patch. 139

In kinematic simulations based on the altimetry at the time of the experiment (not shown), with velocity at the tracer depth approximated as 0.38 times the surface geostrophic velocity from the altimeter, the center of mass of the tracer moved slightly to the west at first, and did not start moving east until a month after release. Thus, the actual tracer movement might be expected to have been delayed by about a month relative to the mean of an ensemble of numerical releases in other representations of the flow field.

The spread of the tracer was sampled during Cruise US2 (see Table 1), a year after the release, using a conventional CTD/Rosette system. Water samples were analyzed using a method similar to that described in Ho et al. (2008). The uncertainty (one standard deviation) of individual concentrations was no greater than 0.03×10^{-15} mol L⁻¹, or 5% of the concentration, whichever was greater. This uncertainty is small compared to the peak concentration measured during US2 of about 4×10^{-15} mol L⁻¹.

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Fig. 1a shows the location of the initial tracer release on Cruise US1 (black dot) and 152 the locations (circles) and normalized amounts of column-integrated tracer concentration 153 measured (circle area) in the follow-up cruises: US2 (blue), UK2 (purple), UK2.5 (black) 154 and US3 (red). The UK cruise tracks, which sample multiple transects, have been subdivided 155 into individual transects UK2A, UK2B, UK2C, UK2.5A and UK2.5B. The areas of the circles 156 in each cruise have been normalized by the maximum amount of tracer measured on that 157 cruise, and the largest circles of each cruise have the same area (except US2 where due to 158 high concentrations the largest circle has four times the area). 159

The column integral at each station was calculated by integrating over a profile obtained 160 by interpolating linearly between the sample levels. Uncertainty of the column integrals is 161 also less than 5%, which is very small compared with lateral variations, as assessed from 162 the lateral autocorrelation of tracer integrals (not shown). The closest station spacing was 163 28 km, along the lines at 93°W and 96°W. The autocorrelation of column integrals of all 164 station pairs with separation within 30 km (71 pairs) was only 0.4 ± 0.2 . The autocorrelation 165 decreases to 0 ± 0.2 for 121 pairs with separations between 90 and 120 km, which is less 166 than the distance between major survey lines. Hence, accurate interpolation of the data to 167 create a map is not possible even within the bounds of the survey. Furthermore, it is clear 168 from the high levels of tracer found along the northern border of the survey (Fig. 1a) that 169 although the survey may have delimited the tracer fairly well to the west and south, the 170 patch was not delimited to the north and northeast. 171

The average of all the vertical profiles obtained during US2 was approximately Gaussian

in shape with a standard deviation of 30 m, and with virtually all the tracer found within 173 100 m of the target density surface, as shown in Ledwell et al. (2011). Hence, one year after 174 release, the vertical spread of the tracer was of the same order as the vertical resolution of 175 most ocean circulation models, including the one used in the present study. Incidentally, 176 variations among profiles of the vertical distribution were small enough that the estimate by 177 Ledwell et al. (2011) of the diapycnal diffusivity, and its uncertainty, in the region between 178 the injection location and the US2 survey area were accurate, despite the variability of 179 column integral within the patch and the failure of the survey to delimit the patch. 180

Fig 2 shows column-integrated tracer concentrations divided by the total amount of tracer 181 released (circles, units m^{-2}) for each of the cruises. Only a subset of Cruise US2 is shown: 182 the latitudinal transect at 96°W denoted as 'US cruise 2A' and the latitudinal transect at 183 93°W denoted as 'US cruise 2B'. The x's with error bars shown in Fig. 2 represent simulated 184 concentrations, which will be discussed in Section 3.2. The largest column integral measured 185 during US2 was 3.46×10^{-9} mol m⁻², located at (94°W, 56.66°S), which, after normalizing by 186 the 387.6 mols of injected tracer, is 8.92×10^{-12} m⁻². The maximum relative concentrations 187 during UK2, UK2.5 and US3 were 1.05×10^{-12} m⁻², 9.55×10^{-13} m⁻², and 6.30×10^{-13} m⁻² 188 respectively. The maximum during US2 is an outlier twice as large as the next largest value 189 during US2, which is itself 50% larger than the next 5–10 datapoints. Notice that the scale of 190 the vertical axis in Fig. 2 decreases in downstream cruises because of dilution by dispersion 191 and also because only the leading edge of the tracer patch is being sampled (UK2B, UK2C, 192 UK2.5B) or the trailing edge of the tracer is being sampled (US3). 193

Cruise US2 is the only cruise where the tracer was sampled over a two dimensional grid, hence it is the only cruise from which the center of mass of the tracer can be estimated. The blue 'x' in Fig. 1b shows the center of mass of the DIMES tracer during US2, computed as $\overline{\mathbf{x}} = \sum_i (\mathbf{x}_i c_i) / \sum_i c_i$, and implies a slight southward displacement (about 0.75° latitude) and a mean zonal propagation speed of about 2.3 cm s⁻¹ over the first year of dispersal. The trajectory of the center of mass followed very closely a constant streamline from the mean AVISO (CNES-CLS09 Version 1.1, Rio et al., 2011) dynamic topography.

²⁰¹ 3 The Drake Patch model

The simulated tracer data presented here are from a series of virtual tracer releases, which replicate the DIMES release, using a regional setup of the MITgcm (Marshall et al., 1997a,b), herein referred to as the "Drake Patch". The model's horizontal grid resolution is $1/20^{th}$ of a degree (a resolution of 3 km×6 km at the location of the tracer injection), spanning the Drake Passage from 160°W to 20°W in longitude and from 75°S to 35°S in latitude. The vertical mesh grid is divided into 100 layers of unequal thickness such that the top 70 layers, which span the top 1900 m, are all less than 35 m thick¹.

The European Centre for Medium-Range Weather Forecasts (ECMWF) Interim Reanaly-¹Layer spacing $\Delta z \leq 35$ m allows the vertical grid to resolve Gaussian tracer profiles with a root mean square spread as small as 70 m (Hill et al., 2012) and most importantly ensures that spurious numerical diffusion in the vertical is below 10^{-5} m²s⁻¹, consistent with direct estimates of diapycnal diffusivity upstream of the Drake Passage from the DIMES tracer release (Ledwell et al., 2011).

sis (ERA-Interim, Simmons et al., 2006) 6-hour winds and buoyancy fluxes force the model's 210 surface, and the Ocean Comprehensive Atlas (OCCA, Forget, 2009) provides monthly trans-211 ports, heat and salt fluxes as well as sea ice area and thickness at the lateral boundaries. 212 Initial model conditions are an interpolation of the $1^{\circ} \times 1^{\circ}$ resolution OCCA state on Jan-213 uary 1, 2005, and the model cycles repeatedly over the years for which OCCA is defined 214 (2004–2006). The simulations are intended to capture the statistics of the seasonal cycle and 215 mesoscale of the Southern Ocean near the Drake Passage rather than predict the specific 216 ocean state at the time of the DIMES tracer release. The model domain (excluding where 217 restoring is applied to the OCCA state estimate) is shown in Figs. 3 and 4. A more detailed 218 description of the model setup is given in Appendix B. 219

220 3.1 Comparison of the model with observations

We begin by comparing the Drake Passage transports, eddy kinetic energy and temperature-221 salinity hydrography with the Drake Patch simulation. The model vertically integrated zonal 222 transport across the Drake Passage has a mean of 152 Sv and varies between 144 Sv and 223 162 Sv, with a standard deviation of 3 Sv, consistent with the transport entering from the 224 open western boundary from OCCA (152 Sv, Forget, 2009). This transport is somewhat 225 larger than past estimates $(137 \pm 7 \text{ Sv}, \text{ review by Meredith et al., 2011})$, but agrees with 226 more recent ones (Firing et al., 2011, 154 ± 38 Sv). The standard deviation is consistent with 227 a recent eddying Southern Ocean state estimate (Mazloff, 2008), but much smaller than 228 reported from observations, possibly because models underestimate the current temporal 229

variability or because observational estimates are biased high due to poor temporal sampling especially at depth. We show below that tracers injected in the model move eastward at the same rate as the tracer released in DIMES, further confirming that the model eastward transport is consistent with observations.

The initial and boundary conditions in the Drake Patch are derived from the 1°×1° OCCA 234 climatology which does not resolve eddies. Upon spinning up, boundary currents, baroclinic 235 and barotropic instabilities and topographic steering quickly develop, in $\mathcal{O}(50)$ days, at and 236 downstream of the Drake Passage (east of 75° W), as well as far upstream at the Udintsev and 237 Eltanin fracture zones (between 145°W and 135°W). After $\mathcal{O}(100)$ days, a vigorous mesoscale 238 eddy field is established in these regions. Weaker mesoscale eddies develop locally near the 239 US2 region after $\mathcal{O}(300)$ days, and a significant amount of eddy kinetic energy is advected into 240 the US2 region from the fracture zones to the west. An earlier model configuration, which 241 had its western boundary at 115°W, and so lacked the upstream fracture zones, exhibited 242 only about 60% of the eddy kinetic in a region near US2 ($90^{\circ}W - 100^{\circ}W$ and $60^{\circ}S-55^{\circ}S$) 243 compared to the current configuration. Therefore, a significant amount of the eddy energy 244 between 100°W and 80°W is advected into that region from the fracture zones at 140°W, 245 despite the advective timescale for eddies to propagate 50 degrees downstream at 2.3 cm s^{-1} 246 being about 4 years and the timescale of local baroclinic instability being less than a year 247 (Tulloch et al., 2011). The simulation that includes Udintsev and Eltanin fracture zones 248 also exhibits relatively more inter-annual variability of kinetic energy than the simulation 249 without them and takes about twice as long to equilibrate at the surface (about 800 days 250

²⁵¹ versus 400 days to reach 90% of surface KE).

Figs. 3 and 4 compare mean and eddy current speeds in the Drake Patch model with 252 AVISO altimetric observations. The model and the altimetric observations agree rather 253 well, although the model's eddy kinetic energy is about 10% larger than AVISO near the 254 US2 cruise track shown in Fig. 1a. The model's time-mean flow $(\overline{u}, \overline{v})$ is computed from a 255 3 year time-mean, while the AVISO speeds are based on a 19 year time-mean (1993-2011), 256 so more eddy aliasing is present in the model time-means than in the AVISO time-means. 257 This aliasing is likely responsible for some of the small scale features in the model average. 258 The model has a southward flowing boundary current off the coast of Chile that ejects 259 northwest propagating anticyclonic eddies into the Pacific Ocean which are absent in the 260 observations. These eddies are generated by the large freshwater fluxes along the Chilean 261 $coast^2$ and they propagate away from the DIMES region. On the basis of our examination 262 of water mass exchanges between the Chilean coastal region and the tracer sampling area, 263 we do not expect freshwater fluxes to influence the tracer distribution during the first two 264

265 years.

Fig. 5 compares the vertical structure of simulated root-mean-square current speed with observations from the First Dynamic Response and Kinematic Experiment (FDRAKE)

²An experiment with the atmospheric forcing shifted 20° west resulted in the generation of anticyclones 20 degrees west of the Chilean coast. These anticyclones appeared to be driven by freshwater forcing at the surface, as that region is one of the rainiest in the world, *e.g.*, Villa Puerto Edén receives almost 6 m of rain per year. They are likely sensitive to the ERA reanalysis product and its low resolution, which does not limit the heavy rain to the coastline.

moorings located in the Drake Passage during the late seventies (Pillsbury et al., 1979; 268 Nowlin et al., 1982). The moorings were deployed for an average of about 320 days and 269 corrected for blow-over (Nowlin et al., 1985). They are compared to a 3 year average in the 270 model. The vertical decay of kinetic energy in the upper 3 km is very similar in model and 271 observations, although the model is somewhat more energetic than the observations. The 272 good match in the vertical decay of kinetic energy is important to support the analysis of 273 lateral mixing at different depths presented below. The very energetic model vertical profile 274 that lies to the right of all other profiles in Fig. 5 comes from the location of the northern-275 most mooring, which is close to the models strong boundary current, visible in Fig. 3b. This 276 outlier profile is probably not very significant, because this current exhibits significant year 277 to year variability in the model. 278

One possible reason for the energy level mismatch is due to missing ocean physics. While the model resolves mesoscale eddies, bottom boundary layer turbulence (Kantha and Clayson, 2000) and lee wave generation (Nikurashin and Ferrari, 2011; Nikurashin et al., 2013) are not well resolved, so the modeled eddies experience too little bottom dissipation. It may be possible to reduce the bias by a slight increase in quadratic bottom drag. In any case, our analysis focuses on mixing away from this boundary current.

Temperature, salinity and neutral density in the model upstream of the Drake Passage agree well with CTD data from the World Ocean Circulation Experiment (WOCE) and the Climate Variability (CLIVAR) programs. In Appendix B, Sections P18, P19C/S and A21 are compared with the model solution. The model receives large scale hydrographic information

from OCCA at the western and northern boundaries, so the upstream sections in the model 289 largely resemble OCCA and therefore observations. Within Drake Passage, the Polar Front 290 appears to be shifted north by about one degree and is somewhat more intense. Section 291 A21 appears to slice through a recirculation just north of 58° S in both observations and the 292 model, a feature that is amplified in the model. While these differences may represent model 293 bias, they are within the observed natural variability. For example, the Polar Front has been 294 shown to meander between 57°S and 61°S (Dong et al., 2006): it was observed just south of 295 59°S during the DIMES experiment (Ledwell et al., 2012), close to 61°S in A21 (at 68°W), 296 and at 60° S in the Drake Patch model. The multi-year sea ice extent shown in Fig. 1a is 297 also in reasonable agreement with observations. 298

²⁹⁹ 3.2 Comparison with DIMES tracer measurements

We repeated 12 tracer injection experiments using the Drake Patch model. In each experiment the tracer was injected at the location of US1 in the DIMES field experiment. They were released 10 days apart from January through March of the 6th year of model integration. The initial tracer distribution was a Gaussian blob in x, y and z ($\sigma_x = \sigma_y = 20$ km, $\sigma_z = 75$ m), with the vertical distribution centered on the 59th model layer (1512 m depth), which is closest to the $\rho_n = 27.9$ kg m⁻³ neutral density surface in the model in February.

Fig. 1a shows a snapshot of column integrated tracer concentration (in units of m^{-2}) after 307 365 days of integration for the tracer blob released on February 4 of the 6th year of model 308 integration. The tracer concentration shown is normalized by the maximum concentration in the domain, as was done for the tracer concentrations measured along each cruise and shown as circles, and all values between 0.5 and 1 have a uniform red tone. This is the same normalization used to display the tracer concentrations measured during the US2 cruise, one year after the DIMES release, and shown as blue circles. Tracer concentrations from later cruises (UK2A, UK2.5, US3) are also shown for reference.

The model tracer is still streaked into numerous filaments after one year (Fig. 1a). Much 314 of the streakiness is eliminated in Fig. 1b which shows the distribution of the ensemble 315 average of all 12 releases, 365 days after each of their respective starting times. The blue 316 'x' in Fig. 1b marks the center of mass of tracer collected during cruise US2 of the DIMES 317 experiment, while the black 'x' ('+') marks the center of mass of the model ensemble average 318 tracer sampled along the US2 cruise track (over the whole domain) at t = 365 days. The 319 excess zonal distance travelled by the modeled tracer ensemble (1.2°) corresponds to an excess 320 zonal propagation speed of the center of mass of 0.22 cm s^{-1} over the first year, compared 321 to the DIMES tracer propagation speed of 2.3 cm s⁻¹. This difference is consistent with the 322 fact that the DIMES tracer was purposefully released between the fronts in a region where 323 the altimetric velocity was particularly weak-the tracer did not move east until a month 324 after release, as discussed in Section 2. 325

Fig. 2 shows transect-by-transect comparisons of tracer concentrations observed in DIMES (gray circles) and the simulated ensemble average (black x's) for each of the cruises. Note that US2 has been split into its two main transects at 96°W (denoted US2A) and 93°W (US2B). The comparison indicates that, at least until UK2.5, the propagation and dispersion of the observed and simulated tracers are consistent. The ensemble tracer is generally less streaky than the observations because it is an average over 12 tracers. Some differences can be seen for the US3 transect. The model has more tracer north of 59°S than the observations and the observed tracer distribution is multimodal, while the modeled ensemble average concentration appears to be more Gaussian.

The time evolution of the mean and standard deviations of the modeled tracer concen-335 tration on the US2 cruise track stations are shown as black lines in Fig. 6a and 6c. The 336 red x's mark the observed values, normalized by the total amount of tracer released. The 337 mean concentration along a cruise track is defined as $\mu = N^{-1} \sum_{i} c_i$ and the standard de-338 viation is defined as $s_N = \sqrt{(N-1)^{-1} \sum_i (c_i - \mu)^2}$, where N is the number of cruise track 339 stations. The concentrations c_i are obtained by column-integrating the raw tracer concen-340 trations, in mol L^{-1} , and then normalizing by the number of mols of CF_3SF_5 injected. The 341 mean concentration reaches a maximum in the first 200 days and then decays as the tracer 342 is advected toward the location of the US2 cruise track stations. The standard deviation, 343 a measure of the tracer streakiness, instead peaks earlier at about 50 days. At the time 344 of US2, the modeled streakiness has decayed to about $1/8^{\text{th}}$ of its initial peak, as a result 345 of lateral homogenization of the streaks. Both the modeled mean and standard deviations 346 agree with observations, *i.e.*, the red error bar, defined as a 95% confidence interval us-347 ing bootstrapping of the observed concentrations (Efron and Tibshirani, 1993; Zoubir and 348 Boashash, 1998), overlaps the gray shading, which is the range spanned by the modeled 349 ensemble members. 350

A summary comparison of the modeled and observed mean and standard deviations of 351 tracer concentration along each of the cruise tracks, at the times of each cruise, is in Fig. 6b 352 and 6d. As per Fig. 2, the mean and variance of concentrations on all of the cruises are 353 consistent with observations, although the modeled concentrations are slightly larger for the 354 US3 transect. The excess concentration in the model at the most northwest station of US3 355 indicate that the DIMES tracer might have taken a slightly more southerly path than the 356 modeled tracer. UK2.5A and UK2.5B in Fig. 2 seem to be in agreement with this hypothesis, 357 however UK2A and UK2B do not. Fig. 14f in Appendix B shows that the Polar Front in 358 the model is displaced northwards compared to observations and probably explains these 359 discrepancies. 360

Using passive tracers to estimate dispersion and isopy-4 361 cnal eddy diffusivity

362

In this section, we outline how we estimate the eddy diffusivity from the dispersion of a 363 passive tracer released from a point source. We focus on cross-current diffusivity because 364 it is the component that supports the MOC. The concentration of a tracer τ , within an 365 isopycnal layer of thickness $z_{\rho} = \partial z / \partial \rho$, evolves according to the equation, 366

$$\partial_t \left(z_\rho \tau \right) + \nabla \cdot \left(\mathbf{u}_i z_\rho \tau \right) = 0 \tag{1}$$

where \mathbf{u}_{i} is the along-isopycnal flow and the divergence is taken at constant density. Eq. (1) 367 does not include a diapycnal flux, because Ledwell et al. (2011) reported very small diapycnal 368

diffusivities of order of 10^{-5} m²s⁻¹ upstream of the Drake Passage at the tracer depth. The Drake Patch model has a similarly low diapycnal diffusivity $K^z < 10^{-5}$ m²s⁻¹ (see Appendix B). For such small diffusivities the diapycnal tracer flux is orders of magnitude smaller than the along-isopycnal one and can be ignored at leading order.

Taking an ensemble average over many tracer deployments, indicated with an overbar, we obtain an equation for the average amount of tracer within an isopycnal layer of thickness z_{ρ} ,

$$\partial_t \overline{z_\rho \tau} + \nabla \cdot \overline{\mathbf{u}_i z_\rho \tau} = 0 \tag{2}$$

The thickness-averaged tracer flux can be decomposed into an advective and a diffusive component (Mazloff et al., 2013):

$$\partial_t \overline{z_\rho \tau} + \nabla \cdot \left(\overline{\mathbf{u}}^* \overline{z_\rho \tau} \right) = -\nabla \cdot \left(\overline{\hat{\mathbf{u}}} \overline{\tau} \overline{z_\rho} \right). \tag{3}$$

The advective component represents tracer transport of the thickness-averaged tracer by the thickness averaged velocity, $\overline{\mathbf{u}}^* = \overline{z_{\rho} \mathbf{u}_i} / \overline{z}_{\rho}$, which is the sum of the Eulerian and quasi-Stokes drift velocities (Plumb and Ferrari, 2005). The diffusive flux on the right hand side captures the along-isopycnal mixing by geostrophic eddies and it is given by the correlation of velocity and tracer fluctuations (hats are deviations from thickness averages.) If we assume that this flux is down the mean thickness-averaged tracer gradient (see Plumb and Ferrari, 2005), we obtain,

$$\partial_t \overline{z_\rho \tau} + \nabla \cdot (\overline{\mathbf{u}}^* \overline{z_\rho \tau}) = \nabla \cdot (\overline{z}_\rho \mathbf{K} \otimes \nabla \overline{\tau}^*), \qquad (4)$$

where **K** is a 2×2 along-isopycnal eddy diffusivity tensor.

Figs. 3 and 4 suggest that both the mean and the eddy kinetic energies are uniform over 386 the region of the tracer during the first year after injection (see Fig. 1). It is therefore sensible 387 to assume that the components of the eddy diffusivity tensor do not vary much spatially. 388 Furthermore the ACC mean flow is approximately zonal in the region and thus we can write 389 $\overline{\mathbf{u}}^* = (u_0, 0)$. (The non-zonal mean flow problem is discussed in Appendix A, where we 390 also comment on spatially variable diffusivities.) We also assume, without loss of generality, 391 that the tracer center of mass is at y = 0. Under these assumptions, the meridional eddy 392 diffusivity can be estimated multiplying Eq. (4) by y^2 and integrating over the density layers 393 and lateral extent of the tracer patch. This gives the equation for the growth rate of the 394 second meridional moment of the vertically integrated tracer concentration, as shown in 395 Appendix A, 396

$$\partial_t \overline{\int \int \int y^2 \tau \, \mathrm{d}z \, \mathrm{d}A} = 2 \, K^{yy} \, \overline{\int \int \int \tau \, \mathrm{d}z \, \mathrm{d}A}.$$
 (5)

Thus if one measures the rate of change of the second moment of the vertically integrated tracer, across an ensemble of tracer releases, one can infer the diffusivity. This is the method used below.

Introducing the vertical integral of the tracer concentration $c = \int \tau \, dz$ and the second moment of the tracer concentration $\sigma_y^2 \equiv \overline{\int \int y^2 c \, dA}$, Eq. (5) can be cast in the more familiar form first derived by Taylor (1921),

$$K^{yy} = \frac{1}{2} \frac{\partial_t \sigma_y^2}{\iint c \, \mathrm{d}A}.\tag{6}$$

The integral in the denominator will be equal to one in our calculations, because the tracer concentrations have been normalized with the total amount of tracer released.

For a meandering mean flow, one ought to use a coordinate system that tracks the 405 mean streamlines of the ACC in order to separate the eddy diffusivity along and across the 406 mean flow. In Appendix A, we show how to extend the expression for the eddy diffusivity 407 to a curvilinear coordinate system (s, ψ) , where s is the along-stream coordinate and ψ is 408 the cross-stream coordinate. While the cross-streamline eddy diffusivity is mathematically 400 well defined, it depends on curvature terms that are difficult to calculate accurately. Here, 410 we chose to restrict the analysis upstream of the Drake Passage, west of $75^{\circ}W$, where the 411 flow is mainly zonal and free of the strong meanders that exist downstream. The analysis 412 in Appendix A confirms that the meridional and cross-streamline estimates of the eddy 413 diffusivity are indistinguishable within error bars in the upstream region. In the interest of 414 simplicity, we focus on the estimates of meridional diffusivity K^{yy} . 415

Another important consideration is whether the assumption of small longitudinal and latitudinal variations of K^{yy} in the ACC sector is supported by the tracer data. Strong support for this assumption comes from the analysis to follow, which shows that K^{yy} does asymptote to a constant value over the first year. K^{yy} would continue to vary, if the tracer kept sampling regions with different dispersion rates.

421 4.1 Estimates of dispersion from a deliberate tracer release

First we estimate the dispersion of the DIMES tracer after one year (US2) using available observations. Since only a fraction of the tracer was sampled during US2, any attempt of inferring the dispersion will be stymied by substantial uncertainty. We attempt to quantify this uncertainty by comparing a number of different approaches to estimating the rate of
spreading experienced by the tracer after one year. Furthermore, any estimate of dispersion
requires an average over many tracer release experiments as discussed in the previous section.
But only one such release was done in the DIMES experiment. We will use the numerical
model in the next section to determine how well one can infer dispersion from a single tracer
release.

We consider three approaches to estimating the spreading of the tracer given by the 431 centered second y-moment σ_y^2 . The first method is a direct estimate of the second moment, 432 that is $\sigma_y^2 = N^{-1} \sum_{i=1}^N {y'}_i^2 c_i$ where N is the number of stations occupied in US2, y'_i is the 433 latitude of station i minus the latitude of the tracer center of mass, and c_i is the vertically 434 integrated tracer concentration measured at that station. In the second method, the binned 435 second moment, we first average all c_i in latitude bins, that is we average over longitude to 436 obtain an estimate of the concentration as a function of latitude only. Then the centered 437 second moment is computed from the concentration as a function of latitude. The third 438 method does a least-squares Gaussian fit to the tracer concentration binned as a function of 439 latitude and σ_y^2 is estimated as the variance of the Gaussian. In Appendix A we show that 440 similar results are found using streamline coordinates, i.e. the spreading across streamlines 441 is equal to the meridional spreading in the Drake Patch. 442

Estimates of σ_y^2 using each method are shown in Fig. 13. Each method has its strengths and weaknesses. The second moment method equally weights each datapoint assuming they are independent, and therefore tends to underestimate the dispersion when there is more

sampling in the middle of the tracer distribution and when a significant fraction of the tracer 446 is meridionally outside of the US2 sampling grid. The binned second moments alleviate the 447 oversampling bias by first averaging tracer concentrations longitudinally and results in a 448 slightly larger estimate. The bins are of equal width so bin averages are given equal weights. 449 Binning introduces a new discretization error, but we found that binned estimates converged 450 if more than 10 bins are used. The final method takes the binned values and minimizes the 451 fit to a Gaussian distribution, to infer missing tracer. Rough interpolation estimates suggest 452 that just less than 50% of the DIMES tracer was observed during US2, so fitting a Gaussian 453 to the US2 data results in larger dispersion estimates. 454

⁴⁵⁵ Apart from the uncertainty due to the incomplete sampling of the tracer, additional ⁴⁵⁶ uncertainty arises from converting the estimates of tracer dispersion into an estimate of ⁴⁵⁷ eddy diffusivity. The eddy diffusivity is the asymptotic growth rate of σ_y^2 . If the dispersion ⁴⁵⁸ proceeded at the same rate throughout the whole year, then

$$K^{yy} = \frac{1}{2} \frac{d\sigma_y^2}{dt} = \frac{\sigma_y^2(1\text{year}) - \sigma_y^2(0)}{2\text{years}} \simeq \frac{\sigma_y^2(1\text{year})}{2\text{years}}.$$
(7)

However initial transients are expected during which the growth of the second moment is
not linear in time. We return to this issue below, when we repeat the dispersion calculations
with the numerical model. For the moment we treat Eq. (7) as an ansatz.

Table 2 reports estimates of K^{yy} based on Eq. (7) and the three methods outlined above for estimating $\sigma_y^2(1\text{year})$. Using the direct estimate of the second moment $K^{yy} = 407 \text{ m}^2 \text{s}^{-1}$, while for the binned second moment $K^{yy} = 524 \text{ m}^2 \text{s}^{-1}$ and the least-squares fit to a Gaussian gives $K^{yy} = 708 \text{ m}^2 \text{s}^{-1}$. The second moment $K^{yy} = 407 \text{ m}^2 \text{s}^{-1}$ is shown in Fig. 7 as a red 'x'. The errors bars around the 'x' in Fig. 7 correspond to the bracketed uncertainty ranges
in Table 2, which are 95% confidence intervals computed by bootstrapping the sample data
10,000 times (Zoubir and Boashash, 1998).

Values of the eddy diffusivity K^{nn} in streamline coordinates are also reported in Table 2. These are obtained applying Eq. (7), but using $\sigma_{\psi}^2 = \langle \psi^2 c \rangle / \langle c \rangle$ instead of σ_y^2 . They are substantially more uncertain, because of the additional complication of defining what are the proper mean streamlines. Analysis of the tracer spreading in the numerical model suggests that there is no advantage working in streamline coordinates in the region considered where the mean flow is very close to zonal. Results in streamline coordinates are compared with those in zonal coordinates in Appendix A.

The large range in estimates of eddy diffusivity confirms that incomplete sampling of the tracer contributes a large uncertainty. Furthermore, as will become more clear, all estimates ignore initial transients during which the growth of σ_y^2 is likely not linear in time. The model tracer release experiments will now be analyzed to gain insights on how to quantify both effects and obtain more robust estimates of the eddy diffusivity.

481 4.2 Estimates of dispersion and diffusivity from numerical tracers

The model is used to address three aspects of the tracer dispersion. First, we want to know whether the eddy diffusivity asymptotes to a constant over the first year. Second, we need to know whether we can use Eq. (7) to estimate the diffusivity. Third, we will consider the effect of under-sampling the tracer on estimates of the eddy diffusivity.

The blue line in Fig. 8a shows $\sigma_y^2(t)$ computed as the second moment of the ensemble 486 tracer, i.e. the average over the 12 numerical injection experiments, using only tracer up-487 stream of 75°W. East of 75°W, the tracer first gets squeezed into the Drake Passage and 488 then veers north with the ACC resulting in rapid changes in the eddy statistics. For the first 489 500 days, out of the 1000 shown in the figure, the second moment increases approximately 490 linearly in time. This confirms that the second moment of the tracer reaches a diffusive 491 spreading within one year and it is sensible to represent this process with a constant eddy 492 diffusivity. 493

The spreading of the ensemble mean tracer, the blue line in Fig. 8a, is not diffusive 494 from day one. There is a small initial transient in the first 100 days when $\sigma_y^2(t)$ does not 495 grow linearly with time. This transient reflects the relative dispersion that the tracer patch 496 experiences before it reaches a size larger than the energy-containing eddies (LaCasce, 2008). 497 In order to assess whether this transient invalidates the use of Eq. (7), we least-squares 498 fitted a line to $\sigma_y^2(t)$ between t = 100 days and t = 500 days (black line in Fig. 8a), and 499 compared it to the red line which simply connects $\sigma_y^2(0)$ to $\sigma_y^2(1 \text{year})$. The slope of the two 500 lines are similar, 800 and 900 $m^2 s^{-1}$ respectively, suggesting that the ansatz of Eq. (7) is 501 accurate to within 10%. Notice, however, that these estimates are based on an ensemble 502 averaged tracer. In the DIMES experiment we have only one realization. In Fig. 8b we 503 show, for each tracer release experiment, the half slopes estimated from linear least-squares 504 fits between t = 100 days and t = 500 days, black 'x', versus the half slopes obtained 505 from Eq. (7), red 'x'. Due the initial transient, estimates of K^{yy} based on Eq. (7) in the 506

individual realizations vary from 718–966 m²s⁻¹, whereas the dispersion rate from 100 to 508 500 days varies 727–861 m²s⁻¹, which is a tighter bound on the diffusivity. Nevertheless, 509 the differences between the two estimates are quite small and on average no larger than in 510 the ensemble mean. We conclude that Eq. (7) can be used to estimate K^{yy} from data with 511 perhaps a 20% uncertainty.

A more problematic issue in estimating the diffusivity is the extrapolation of the sub-512 sampled tracer on the US2 grid points to the full tracer distribution. Fig. 7 shows half the 513 second moment of the US2 subsampled tracer divided by time (red line) and that for the 514 full tracer upstream of the Drake Passage (black line); these are estimates of K^{yy} based on 515 Eq. (7) applied at all times instead of only at one year. Second moments for the subsampled 516 tracer are calculated using the first approach described in Section 4.1, that is, from all the 517 individual column integrals, with no binning. The red line is 60% smaller than the black 518 line implying that the US2 grid samples only a fraction of the tracer distribution. The ratio 519 of the two curves is fairly constant between 250 and 450 days suggesting that estimates of 520 K^{yy} based on sampling the tracers along the US2 grid after one year are biased 60% low. 521

The analysis presented so far suggests that Eq. (7) is appropriate to estimate K^{yy} , if the tracer is sampled adequately. Fig. 12 confirms that the estimate of K^{yy} is independent of the specific method used to estimate σ_y^2 , when the calculation is applied to all of the tracer upstream of 75°W. Incomplete tracer sampling, however, as in the case of the DIMES experiment, is a serious limitation. Fig. 13 and Table 3 report estimates of K^{yy} computed using only data on the US2 cruise track. We repeated the same analysis followed for the

DIMES observations and used Eq. (7) with the three different approaches to estimate σ_y^2 . 528 The results are reported in Table 3. The model confirms that the second moment and 529 the binned second moment methods strongly underestimate K^{yy} . The Gaussian fit method 530 correctly extrapolates the missing tracer when applied to the ensemble averaged tracer on 531 the US2 grid, but returns widely varying results when applied to a single tracer injection 532 experiment. The inescapable conclusion is that none of the three approaches can be used 533 to infer the spreading rate experienced by the tracer in DIMES, because the uncertainty 534 associated with the missing tracer is too large. 535

Alternatively one can use the model estimate of K^{yy} , since the model has been tested 536 against data. However, a comparison of data and model estimates based on tracer data on 537 the US2 cruise track shows that the model estimates are biased high: see Tables 2 and 3 538 and Fig. 7. Although the error bars are large enough to make all estimates consistent (the 539 model uncertainty is estimated as the range of values obtained from the 12 tracer release 540 experiments, while the DIMES uncertainty is computed using bootstrapping), the high model 541 bias is consistent with the model kinetic energy being somewhat too high as discussed in 542 Section 3.1. It appears that the best way forward is to extrapolate the K^{yy} estimate from the 543 DIMES data on the US2 cruise track using the model to infer the bias introduced because 544 of the subsampling of the tracer. This is done in the next section. 545

⁵⁴⁶ 4.3 Best estimate of the eddy diffusivity upstream of the Drake ⁵⁴⁷ Passage at 1500 m

The tracer dispersion estimated from the DIMES data in Section 44.1 is likely an underes-548 timate, because only half of the tracer was sampled and large values to the north suggest 549 more dispersion northward. Since the model consistently overestimates the tracer dispersion 550 compared to the DIMES observations, it cannot be used directly to estimate the DIMES 551 diffusivity. We showed that by fitting a Gaussian meridionally to the subsampled tracer a 552 Gaussian returned a diffusivity of $K^{yy} \approx \sigma_y^2(1\text{year})/2\text{years} \approx 708 \text{ m}^2 \text{s}^{-1}$, but the uncertainty 553 in this value is very large spanning the range 358-840 (see Table 2). Alternatively, the model 554 can be used to infer how much of the tracer dispersion was missed by sampling only on the 555 US2 cruise track. 556

Fig. 7 shows the extrapolation $\sigma_y^2|_{extrap}$ of the observed $\sigma_y^2|_{DIMES}$ from the US2 cruise multiplied by the ratio of the $\sigma_y^2|_{model\ full}$ estimated on the full domain west of 75°W (black line) and the $\sigma_y^2|_{model\ US2}$ estimated on the US2 cruise track only (red line),

$$\sigma_y^2|_{extrap} = \frac{\sigma_y^2|_{model \ full}}{\sigma_y^2|_{model \ US2}} \cdot \sigma_y^2|_{DIMES}.$$
(8)

560 The error in $\sigma_y^2|_{extrap}$ is estimated as

$$\operatorname{Err} \sigma_y^2|_{extrap} = \sigma_y^2|_{extrap} \cdot \sqrt{\left(\frac{\operatorname{Err} \sigma_y^2|_{DIMES}}{\sigma_y^2|_{DIMES}}\right)^2 + \left(\frac{\operatorname{Err} \sigma_y^2|_{model \ US2}}{\sigma_y^2|_{model \ US2}}\right)^2}.$$
(9)

The error in the estimate of $\sigma_y^2|_{model US2}$ is calculated as the 95% confidence interval of the ensemble tracer dispersion on US2 computed using bootstrapping and is shown as grey shading in Fig. 7. The spread of $\sigma_y^2|_{model full}$ has not been included in the error estimate to ⁵⁶⁴ avoid double counting. The observational error on $\sigma_y^2|_{DIMES}$ is estimated using bootstrapping ⁵⁶⁵ and is shown as a red bar in Fig. 7.

The red 'x' in Fig. 7 marks the eddy diffusivity estimated using data along the US2 stations, while the blue 'x' is the extrapolated value. The last two rows of Table 2 summarize the results. Using this extrapolation we estimate that the meridional eddy diffusivity in the DIMES experiment was $710\pm 260 \text{ m}^2\text{s}^{-1}$ at 1500m. This value agrees well with that estimated using a least-squares Gaussian fit, building confidence in our estimate.

571 5 Estimating the vertical structure of the eddy diffu-572 sivity

There is growing evidence that the isopycnal eddy diffusivity of passive tracers varies in the vertical and has subsurface maxima (Treguier, 1999; Smith and Marshall, 2009; Abernathey et al., 2010; Lu and Speer, 2010; Klocker et al., 2012b), unlike the horizontal buoyancy diffusivity which appears to be less variable in the vertical. It is therefore difficult to interpret the significance of the DIMES estimate and compare it to previous work without some information about the vertical variations from the 710 m²s⁻¹ value. We use the Drake Patch model to extrapolate the DIMES observations to the rest of the water column.

In order to assess the vertical variations of eddy diffusivity in the DIMES region, we ran an ensemble of tracers injected on February 4 of the 6th year of model integration at 12 different depths between 500 m and 3500 m. The time evolution of σ_y^2 over time,

estimated as the second moment of the tracer west of 75°W, is shown as blue lines for 583 four selected depths in Fig. 9. After an initial transient of about 100 days, the shallowest 584 tracer disperses approximately linearly with time until about t = 500 days. Afterwards the 585 dispersion accelerates as most of the tracer has reached the Drake Passage (not shown). The 586 red lines are the dispersion experienced by the tracer over the first year and its slope is given 587 by Eq. (7); this is the estimate of the diffusivity used for the DIMES tracer in Section 4. 588 The black line shows a linear least-squares fit to the dispersion between t = 100 days and 589 t = 500 days, which attempts to remove the initial transient from the diffusivity estimate. 590 For tracers released in the upper 1000m the slopes of the red and black curves are very 591 different, because the effect of the initial transient is significant. It is actually difficult to 592 select the time window over which the growth rate of σ_y^2 is linear and a diffusivity can be 593 defined. The ACC flow gets stronger toward the surface and the tracer does not have much 594 time to diffuse before reaching the Drake Passage: once the center of mass of the tracer 595 reaches the Drake Passage, the flow first converges, resulting in a meridional squeezing of 596 the tracer cloud, and then it veers north. 597

Fig. 10a shows the vertical profile of the diffusivity K^{yy} estimated by least-squares fitting lines between t = 100 days and t = 500 days (black line). The figure also shows the range of eddy diffusivity estimates from all 12 ensemble members released at 1500 m (thin horizontal black line) to emphasize that much uncertainty remains when the eddy diffusivity is estimated from a single release experiment. For comparison the best estimate of the eddy diffusivity from the DIMES tracer release is shown as a blue circle with its uncertainty. The ⁶⁰⁴ model estimate is biased slightly too high, but well within the observational error bars.

Despite the uncertainty, Fig. 10a shows that the eddy diffusivity has a maximum between 605 1700 m and 2500m. Naively one may expect the eddy diffusivity to scale with the eddy 606 kinetic energy, which is monotonically decreasing with depth as shown in Fig. 10b. However 607 Bretherton (1966) and Green (1970) pointed out that mixing is strongly suppressed when 608 eddies propagate at a speed different from the mean flow. Fig. 10b shows both the mean flow 609 speed as a function of depth, averaged over the patch extending from 10W to 80W and 61S 610 to 56S, and the eddy propagation speed, estimated with a radon transform of the sea surface 611 height in the same region (see Smith and Marshall, 2009). The eddy propagation speed is 612 much smaller than the mean flow speed in the upper kilometer, resulting in a suppression 613 of the eddy diffusivity. Close to the steering level, where the mean flow equals the eddy 614 propagation speed, there is no suppression and the eddy diffusivity is largest. Similar vertical 615 profiles of eddy diffusivity have been reported in recent studies of ACC flows more or less 616 constrained to observations (Smith and Marshall, 2009; Abernathey et al., 2010; Lu and 617 Speer, 2010; Klocker et al., 2012b). 618

⁶¹⁹ Based on the model results, we infer that the meridional eddy diffusivity in the DIMES ⁶²⁰ region peaks at around 900 m²s⁻¹ between 1700 m and 2500 m, while it is smaller than ⁶²¹ 500 m²s⁻¹ in the upper kilometer. While this structure is consistent with recent studies, ⁶²² the absolute values of the diffusivity are less so. In particular Abernathey et al. (2010) and ⁶²³ Klocker et al. (2012a) published larger estimates for the DIMES region. Abernathey et al. ⁶²⁴ (2010) estimated the diffusivity advecting tracers with a state estimate of the Southern Ocean

Circulation and reported values around $500 \text{ m}^2 \text{s}^{-1}$ in the upper kilometer and values in excess 625 of $2000 \text{ m}^2\text{s}^{-1}$ at the steering level. Klocker et al. (2012a) estimated, using an idealized two-626 dimensional zonally re-entrant setup driven by surface altimetry, that the eddy diffusivity 627 in the DIMES region peaked at 1000 m^2s^{-1} at 1.5 km depth, decreasing to 700 m^2s^{-1} at 628 the surface. Most likely these differences stem from the different velocity fields use in the 629 calculation and, in the case of Abernathey et al. (2010), from the use of a different method 630 to compute the eddy diffusivity-they used Nakamura's definition of the eddy diffusivity. We 631 believe that our estimate is more robust than these previous ones, because it is grounded in 632 direct observations. 633

634 6 Discussion

This paper presents the first direct estimate of the isopycnal eddy diffusivity across the ACC just upstream of Drake Passage. The estimate was computed from the spreading of the DIMES tracer which was released in February, 2009. Using tracer sampling at one year after release (cruise US2) we estimated an isopycnal eddy diffusivity of $710 \pm 260 \text{ m}^2\text{s}^{-1}$ upstream of Drake Passage at 1500m. The estimate is based on the tracer spreading measured during US2 supplemented by a numerical model used to infer where the full tracer patch had spread after one year; US2 sampled only half of the tracer that was injected one year earlier.

In a companion paper LaCasce et al. (2014) find similar values of isopycnal eddy diffusivity from floats released during the DIMES field campaign and floats released in the same numerical model used in our study of tracer dispersion. This builds confidence that our 645 estimate is accurate.

The numerical model further suggests that the isopycnal eddy diffusivity at 1500 m depth 646 is close to its maximum in the water column. Diffusivities above 1000 m and below 3500 m 647 appear to be smaller than 500 $m^2 s^{-1}$. The maximum in eddy diffusivity coincides with the 648 steering level where the eddy propagation speed of 2.2 cm s^{-1} matches the zonal mean flow 649 (Fig. 10). This vertical profile is consistent with the notion that mixing is suppressed in 650 the upper kilometer of the ocean where eddies propagate much slower than the strong ACC 651 flow, while it is large at the steering level where there is no suppression (Bretherton, 1966; 652 Green, 1970; Ferrari and Nikurashin, 2010). The mixing suppression at the surface and 653 enhancement at depth is a robust feature of ocean mixing that has already been reported 654 in idealized studies of channel flows (Treguier, 1999; Smith and Marshall, 2009), in studies 655 informed by ACC observations (Abernathey et al., 2010; Lu and Speer, 2010; Klocker et al., 656 2012b) and in hydrographic sections (Naveira Garabato et al., 2011). 657

The present results have important implications for ocean models. The diffusivity esti-658 mated here is the Redi isopycnal diffusivity which homogenizes tracers and potential vor-659 ticity (Griffies, 2004). Our result is that the Redi diffusivity in a sector of the Southern 660 Ocean varies in the vertical with a peak of approximately $900 \text{ m}^2/\text{s}$ at 2 km. If these vari-661 ations are not isolated to the region sampled in DIMES, they imply strongest ventilation 662 at the interface between the upper and lower meridional overturning cells (Marshall and 663 Speer, 2012) a region crucial for ocean carbon uptake. The implications for the horizontal 664 buoyancy (Gent-McWilliams) diffusivity are more subtle. Smith and Marshall (2009) and 665
Abernathey et al. (2013) find that the buoyancy diffusivity is more vertically constant than 666 the tracer diffusivity, and has a magnitude close to the surface value of the tracer diffusivity. 667 If this holds true in general, our results imply that the buoyancy diffusivity is less than 500 668 m^2/s , a value smaller than presently used in ocean models used for climate studies. However 669 we realize that our results apply only to a small sector of the Southern Ocean upstream of 670 the Drake Passage and one cannot extrapolate the results to the global ocean. Rather our 671 analysis provides a ground-truth for developing parameterizations, which can then be used 672 to extrapolate our results to other regions. A new parameterization of eddy mixing based 673 on these results is currently being developed (Bates et al., 2013). 674

Acknowledgments. Ferrari and Tulloch wrote the manuscript with input from the other co-authors. Ledwell, Messiah, Speer and Watson led the tracer field measurements. Ferrari, Jahn, Klocker, LaCasce, Marshall and Tulloch led the numerical simulation work. We wish also to thank all the scientists and ship crews of DIMES for their many contributions to a very successful experiment. NSF support through awards OCE-1233832, OCE-1232962 and OCE-1048926 is gratefully acknowledged. Computing resources on Pleiades and Yellowstone proved essential to perform the numerical simulations that are used to interpret the data.

⁶⁶² Appendix A: Computation of tracer dispersion

The goal of this paper is to quantify the mixing by geostrophic eddies along isopycnal surfaces and across mean currents. It is thus necessary to use a coordinate system that follows isopycnal surfaces and mean streamlines. We discuss the transformation to isopycnal coordinates first, and then we tackle the rotation into a streamline coordinate system.

⁶⁸⁷ A.1 Tracer moments in isopycnal coordinates

⁶⁸⁸ The equation for the temporal growth rate of the vertically integrated tracer,

$$\sigma_y^2 = \iiint y^2 \,\overline{z_\rho \tau} \,\mathrm{d}\rho \mathrm{d}A = \iiint y^2 \tau \,\mathrm{d}z \mathrm{d}A,\tag{10}$$

is obtained multiplying the thickness averaged tracer equation (4) by y^2 and integrating over density and in the horizontal beyond where there is any tracer. The final result is given in Eq. (6) in the main text. Here are provide few more steps to help follow the full derivation,

$$\partial_t \overline{\int \int \int y^2 \tau \, \mathrm{d}z \mathrm{d}A} = -2K \int \int \int \int y \partial_y \overline{\tau}^* \mathrm{d}\overline{z} \mathrm{d}A \tag{11}$$

$$= 2K \int \int \int \overline{\tau}^* d\bar{z} dA$$
 (12)

$$= 2K \iiint \overline{z_{\rho}\tau} \,\mathrm{d}\rho \mathrm{d}A \tag{13}$$

$$= 2K \overline{\int \int \int \tau \, \mathrm{d}z dA}. \tag{14}$$

⁶⁹² A.2 Tracer moments in streamline coordinates

⁶⁹³ Isopycnal mixing by geostrophic eddies is generally strongly anisotropic, being much larger ⁶⁹⁴ along mean currents than across. It is therefore necessary to rotate coordinates along and across mean streamlines to properly estimate mixing in the two directions. We could not find a description of how to compute eddy diffusivities in a streamline coordinate system and so we decided to include in this appendix the details involved in the calculations. The second section of the appendix then compares estimates of the dispersion in streamline and longitude-latitude coordinates for the DIMES region.

The mean coordinate system is defined through a 2D streamline coordinate system (s,ψ) where s is the along-stream coordinate (with units of length) and ψ is the cross-stream coordinate which increases normal $(\hat{\mathbf{n}})$ to the stream, *i.e.*,

$$\hat{\mathbf{s}} = \frac{\mathbf{k} \times \nabla \psi}{|\nabla \psi|}, \qquad \hat{\mathbf{n}} = \frac{\nabla \psi}{|\nabla \psi|}$$

as shown in the Fig. 11 below. The streamline may represent the barotropic streamfunction
but also the streamfuction at some level, if the flow is equivalent barotropic as appears to
be the case in the ACC (Killworth and Hughes, 2002).

The first step is to write in streamline coordinates the conservation equation for the vertically and ensemble averaged tracer \overline{c} advected by a two-dimensional streamfunction ψ ,

$$\partial_t \bar{c} + J(\psi, \bar{c}) = -\nabla \cdot \mathbf{F},\tag{15}$$

where J is a two-dimensional Jacobian and \mathbf{F} represents the eddy flux of tracer. The flux term in streamline coordinates takes the form,

$$\nabla \cdot \mathbf{F} = |\nabla \psi| \left[\frac{\partial}{\partial s} \left(\frac{\mathbf{F} \cdot \hat{\mathbf{s}}}{|\nabla \psi|} \right) + \frac{\partial}{\partial \psi} \left(\mathbf{F} \cdot \hat{\mathbf{n}} \right) \right].$$
(16)

In order to find an expression for the cross-streamline flux, we average the tracer equation along a streamline. First consider the average of a generic function F(x, y) over a region ⁷¹² encircled by a stream function ψ ,

$$I(\psi) = \int_{R_{\psi}} F(x, y) dA.$$

Following Young (1981, pg. 84, Eq. 9.13), we take the derivative of $I(\psi)$ with respect to ψ , which is the average of F(x, y) along the streamline,

$$\begin{split} \frac{dI(\psi)}{d\psi} &= \lim_{\Delta\psi\to 0} \frac{I(\psi + \Delta\psi) - I(\psi)}{\Delta\psi} \\ &= \lim_{\Delta\psi\to 0} \frac{1}{\Delta\psi} \left[\int_{R_{\psi} + \Delta\psi} F(x, y) ds \frac{d\psi}{|\nabla\psi|} - \int_{R_{\psi}} F(x, y) ds \frac{d\psi}{|\nabla\psi|} \right] \\ &= \oint_{\partial R_{\psi}} F \frac{ds}{|\nabla\psi|}. \end{split}$$

The eddy flux is now assumed to be related to the mean tracer gradient through a diffusivity tensor \mathbf{K} ,

$$\mathbf{F} = -\mathbf{K} \otimes \nabla \overline{\mathbf{c}}.\tag{17}$$

⁷¹⁷ Integrating the tracer equation along a streamline then gives

$$\partial_t \oint_{\partial R_{\psi}} \overline{c} \frac{ds}{|\nabla \psi|} + \oint_{\partial R_{\psi}} \nabla \overline{c} \cdot d\mathbf{s} = \oint_{\partial R_{\psi}} \left[\frac{\partial}{\partial s} \left(\frac{\mathbf{K} \otimes \nabla \overline{\mathbf{c}} \cdot \hat{\mathbf{s}}}{|\nabla \psi|} \right) + \frac{\partial}{\partial \psi} \left(\mathbf{K} \otimes \nabla \overline{\mathbf{c}} \cdot \hat{\mathbf{n}} \right) \right] ds$$

Assuming that the streamline average extends over the whole region where there is sometracer, one has,

$$\partial_t \oint_{\partial R_{\psi}} \overline{c} \frac{ds}{|\nabla \psi|} = \oint_{\partial R_{\psi}} \frac{\partial}{\partial \psi} \left(\mathbf{K} \otimes \nabla \overline{\mathbf{c}} \cdot \hat{\mathbf{n}} \right) ds.$$

The diffusivity tensor, which can be decomposed into anti-symmetric and symmetric real components as $\mathbf{K} = \mathbf{K}^{asym} + \mathbf{K}^{sym}$,

$$\mathbf{K^{asym}} = \begin{pmatrix} 0 & -K^a \\ & & \\ K^a & 0 \end{pmatrix}, \qquad \mathbf{K^{sym}} = \begin{pmatrix} K^{ss} & K^{sn} \\ & & \\ K^{ns} & K^{ss} \end{pmatrix}.$$
 (18)

Expanding **K** into its tensor components gives

$$\partial_t \oint \overline{c} \frac{ds}{|\nabla \psi|} = \oint \frac{\partial}{\partial \psi} \left((K^a + K^{ns}) \frac{\partial \overline{c}}{\partial s} + K^{nn} |\nabla \psi| \frac{\partial \overline{c}}{\partial \psi} \right) ds.$$
(19)

⁷²³ Under the assumption that the diffusivity tensor is independent of the along stream coor-⁷²⁴ dinate, *i.e.*, $\mathbf{K} = \mathbf{K}(\psi)$, the $\partial_s \overline{c}$ term in Eq. (19) integrates to zero so the cross-stream ⁷²⁵ diffusivity K^{nn} is the only component that evolves the stream-averaged tracer.

Further integrating Eq. (19) over the cross-stream coordinate gives the equation for the tracer averaged over the full domain,

$$\partial_t < \bar{c} >= \partial_t \iint \bar{c} \frac{ds}{|\nabla \psi|} d\psi = \iint \frac{\partial}{\partial \psi} \left(K^{nn} \nabla \bar{c} \cdot \hat{\mathbf{n}} \right) d\psi ds = 0.$$

⁷²⁸ Integrating the first moment with respect to ψ gives,

$$\partial_{t} < \psi \bar{c} > = \int \int \psi \frac{\partial}{\partial \psi} \left(K^{nn} \nabla \bar{c} \cdot \hat{\mathbf{n}} \right) d\psi ds$$
$$= \int \int \left(\frac{\partial K^{nn}}{\partial \psi} |\nabla \psi|^{2} + \frac{1}{2} K^{nn} \frac{\partial}{\partial \psi} |\nabla \psi|^{2} \right) \bar{c} \, dA, \tag{20}$$

which implies a shift of the center of mass towards larger ψ , if either the diffusivity or the mean flow increase with ψ ($\partial_{\psi} K^{nn} > 0$ or the streamlines become more packed).

Integrating the second moment with respect to ψ gives

$$\partial_{t} < \psi^{2} \overline{c} > = \int \int \psi^{2} \frac{\partial}{\partial \psi} \left(K^{nn} \nabla \overline{c} \cdot \hat{\mathbf{n}} \right) d\psi ds$$

$$= 2 \int \int \left(\frac{\partial K^{nn}}{\partial \psi} |\nabla \psi|^{2} \psi + K^{nn} |\nabla \psi|^{2} + \frac{1}{2} K^{nn} \psi \frac{\partial}{\partial \psi} |\nabla \psi|^{2} \right) \overline{c} \, dA, \qquad (21)$$

so dispersion in stream coordinates depends on the cross gradient of the diffusivity and meanflow speed.

When the cross-gradient diffusivity K^{nn} is approximately uniform $(\partial_{\psi} K^{nn} \to 0)$ then the cross-stream diffusivity is approximately

$$K^{nn} = \frac{1}{2} \frac{\partial_t \langle \psi^2 \overline{c} \rangle}{\langle \left(|\nabla \psi|^2 + \frac{1}{2} \psi \frac{\partial}{\partial \psi} |\nabla \psi|^2 \right) \overline{c} \rangle}.$$
(22)

If the curvature of the streamlines is small, $\psi \partial_{\psi}(|\nabla \psi|^2) \ll |\nabla \psi|^2$, then the expression for K^{nn} reduces to

$$K^{nn} \simeq \frac{1}{2} \frac{\partial_t < \psi^2 \overline{c} >}{< |\nabla \psi|^2 \overline{c} >}.$$
(23)

The $|\nabla \psi|^2$ factor in the denominator represents that the conversion between dispersion in ψ coordinates and length coordinates.

Finally note that if the center of mass of the tracer in streamline coordinates is not at $\psi = 0$, i.e. $\langle \psi \overline{c} \rangle \neq 0$, then the dispersion must be calculated as the growth rate of the centered second moment. In the following calculations, we will set $\psi = 0$ for the streamline along which the tracer was released.

A.3 Estimates of tracer dispersion across streamlines in the Drake

Patch

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⁷⁴⁶ We introduced three different estimators of σ_y^2 in latitude coordinates in Section 4.1. We ⁷⁴⁷ now compare those estimates to equivalent ones in streamline coordinates to test whether ⁷⁴⁸ the assumption that the flow in the DIMES region is zonal is sufficiently accurate for our ⁷⁴⁹ calculations. We choose the time-mean surface geostrophic streamfunction $\psi = g\eta/f$, where ⁷⁵⁰ g is the gravitational constant, η is sea surface height and f is the local Coriolis frequency, to

define our streamlines. Fig. 12 shows estimates of K^{yy} (top) and K^{nn} (bottom) versus time 751 using the three methods described in Section 4.1: a second moment which assumes all data 752 points are independent, a binned second moment averaged along the stream (zonally) within 753 cross-stream (meridional) bins, and a least-squares fit to a Gaussian distribution using the 754 binned data (left to right). To define the streamlines, the model's sea surface height was 755 averaged from year 5 to 10, then coarse-grain averaged using a Shapiro (1970) filter to remove 756 eddy aliasing. In order to smooth the diffusivity in time, we plot the time-integrated rate of 757 dispersion $K^{yy} = \sigma_y^2/2t$ rather than the instantaneous rate of dispersion defined in Eq. (6). 758 As the tracer enters the Drake Passage, the streamlines bend and turn northward. This 759 turning northward artificially increases K^{yy} and the bending would make the curvature term 760 in the denominator of Eq. (22) for K^{nn} significant. Also, narrowing of the stream in and 761 downstream of the Drake Passage likely invalidates the assumption that $\partial_{\psi} K^{nn} \to 0$. To 762 alleviate all of these issues we have restricted the tracer dispersion calculations shown in 763 Fig. 12 to tracer that is west of 75° W, which encompasses nearly all of the tracer shown in 764 Fig. 1 at t = 1 year. 765

In the left panels of Fig. 12, the dispersion is integrated exactly as defined in the equations above. In the middle panels, meridional and cross-stream bins of equal width (25 bins, 1/2 of a degree apart in latitude from 65°S to 53°S), and an equivalent bin width in ψ of approximately $4 \times 10^3 \text{ m}^2 \text{s}^{-1}$) are defined to bin the tracer before summing across the stream. This calculation is essentially identical to the method on the left, but with less cross-stream resolution. In the right panels, the tracer is first binned as in the middle panels and then

fitted to a meridional or cross-stream Gaussian profile via least-squares gradient descent, 772 analogously to the method used in Ledwell et al. (1998). Fig. 12 shows that the three methods 773 shown agree with each other when the full (upstream) tracer is taken into account, and that 774 the latitudinal and cross-stream diffusivities are both approximately $K = 800 - 1000 \text{ m}^2 \text{s}^{-1}$ 775 in the model at t = 1 year. When the full tracer is known, the estimates on the right 776 agree with the estimates on the left in the ensemble mean (thick black line), but there is 777 more uncertainty in the ensemble members (thin gray lines). The middle and left plots also 778 decrease at later times as more of the tracer approaches the Drake Passage where the stream 779 is slightly narrower, while this effect seems to be absent in the least-squares fits on the right. 780 The cross-stream diffusivities are a bit larger than the latitudinal diffusivities (Table 3), but 781 the differences are not significant compared with the uncertainties. 782

⁷⁸³ A.4 Estimates of tracer dispersion across streamlines in DIMES

Fig. 13 shows estimates of eddy diffusivity using the same three methods described in Sec-784 tion 4.1, but using streamlines coordinates. The second moment of the tracer in streamline 785 coordinates is estimated as $\sigma_{\psi}^2 = \langle \psi^2 c \rangle / \langle |\nabla \psi|^2 c \rangle$ and data are averaged in streamline bins 786 instead of latitude bins for the bin averages. We did not include the additional curvature 787 terms, because they simply add noise to the estimates. The mean dynamic topography from 788 AVISO (CNES-CLS09 Version 1.1, Rio et al., 2011) is used to define the streamfunction 789 coordinate system. The estimates using streamfunction coordinates are slightly smaller for 790 all methods, but the uncertainty range is larger. Estimates using streamfunction coordinates 791

are again similar to those obtained using latitude coordinates but somewhat smaller because
the streamlines are not perfectly zonal and the tracer center of mass drifts south over the
first year by about 0.5–0.75° (Fig. 13 and Table 3).

⁷⁹⁵ Appendix B: Model setup and comparison with hy ⁷⁹⁶ drography

The Drake Patch model is a regional configuration of the MITgcm, on a $1/20^{th}$ of a de-797 gree resolution latitude-longitude grid. Horizontal vorticity is advected with a fourth-798 order accurate spatial discretization using an enstrophy conserving (Arakawa and Lamb, 799 1977) and vector invariant formulation. Horizontal viscosity is biharmonic, with an am-800 plitude that scales according to local grid spacing and stresses (Fox-Kemper and Mene-801 menlis, 2008). Vertical viscosity is Laplacian and a quadratic bottom drag is imposed 802 in the lowest layer. Momentum, temperature and salinity are forced at the surface by 803 re-analysis from the European Centre for Medium Range Weather Forecasts (ECMWF 804 ERA-Interim) on a 6-hourly timescale and at approximately 0.7 degree resolution (Dee 805 The initial hydrography is taken from an average of OCCA's December et al., 2011). 806 2004 and January 2005 fields (Forget, 2010). There is dynamic sea ice, and the freezing 807 temperature is set to $T = 273.2501 - 0.0575 \cdot S$. Advection of temperature, salinity and 808 passive tracers is by a spatially seventh-order accurate, monotonicity preserving scheme 809 (Daru and Tenaud, 2004). The K-profile parameterization scheme of Large et al. (1994)810

is used to parameterize vertical mixing due to boundary layer shear and convective instability. Table 4 summarizes the numerical parameters. The bathymetry was downloaded from ftp://topex.ucsd.edu/pub/srtm30_plus/topo1_topo2/topo1.grd and is David Sandwell's SRTM30_PLUS V7 averaged to 1/20th of a degree from one minute (Smith and Sandwell, 2004). The model includes the MITgcms sea-ice thermodynamic model with standard settings (Losch et al., 2010). Bulk formulae are used to compute the atmospheric heat and fresh water flux from the changing sea surface temperature (Large and Yeager, 2004).

Lateral boundary conditions (U, V, S, T, and sea ice) on a monthly time scale and one 818 degree resolution from OCCA are interpolated onto the model's resolution. A relaxation 819 boundary condition absorbs outgoing flow over a one degree sponge layer (see Section 6.3.2 820 of MITgcm Group, 2011, for details of the MITgcm relaxing boundary condition scheme). 821 The model cycles repeatedly over the three years for which OCCA is defined (2004-2006). 822 Tracers are injected once the model has cycled 1.66 times through the OCCA three year 823 period. The OCCA boundary conditions are interpolated in time to avoid any shocks in the 824 dynamics and tracer evolution. 825

⁸²⁶ B.1 Comparison of Drake Patch model against hydrography

Fig. 14 compares the model's hydrography (right plots) with CTD data stored at the CLIVAR & Carbon Hydrographic Data Office (left plots) from sections P18 (top), P19 (middle), and A21 (bottom), which are denoted with gray dashed lines in Fig. 3. The westernmost section, P18 at 103°W, is in a relatively quiescent region of the ACC, near the initial DIMES tracer

injection point. The SAF is visible at $(103^{\circ}W, 55^{\circ}S)$ and PF at $(103^{\circ}W, 60^{\circ}S)$ in both the 831 model and in P18. North of 60°S there appears to be a deeper mixed layer, or mode water, 832 in the model compared to observations. Deeper model mixed layers are expected because 833 the model does not have a submession parameterization for mixed layer restratification 834 (Fox-Kemper and Ferrari, 2008). At P19 ($88^{\circ}W$), the fronts appear to be sharper south of 835 60°S in the model than in observations possibly due to the different sampling resolution of 836 model versus ships or to the lacking representation of bottom dissipation processes in the 837 model. There is also more mode water present at P19 in the model than in observations. 838 Within the Drake Passage, at Section A21, the SAF appears similar between the model and 839 observations, but the PF is stronger in the model and displaced northwards by about half 840 a degree. There also appears to be a bowl of low density water in the model between 60° S 841 and 58°S, which does not appear in the observations below 1 km. The bowl of low density 842 water in the model likely results from the path of the ACC in the model along A21, visible 843 in Fig. 3b. The transect appears to run almost parallel to the jet at 58.5° S. 844

⁸⁴⁵ B.2 Vertical diffusivity in the model

Ledwell et al. (2011) showed that diapycnal diffusivity upstream of the Drake Passage is approximately $1.3 \times 10^{-5} \text{ m}^2 \text{s}^{-1}$ at 1500 m depth. However many eddying z-coordinate models contain a horizontal bias as isopycnal surfaces become steeply inclined, which can lead to numerically generated diapycnal mixing of the order of $10^{-4} \text{ m}^2 \text{s}^{-1}$ (Griffies et al., 2000). Hill et al. (2012) show that this spurious diapycnal mixing can be limited to $K^{zz} < 10^{-5} \text{ m}^2 \text{s}^{-1}$ when the vertical tracer variations are well-resolved and a second order moment (SOM) advection scheme (Prather, 1986) is employed. Specifically, for a tracer with a Gaussian concentration and a vertical standard deviation of 50 m and layer thicknesses of 10 m, they obtain a diapycnal diffusivity of about 0.5×10^{-5} m²s⁻¹ using the SOM scheme with a flux limiter (their simulation A2). However when the Gaussian profile is not well resolved, *i.e.*, layer thicknesses of 100 m, the flux limited scheme produces 8 times more diapycnal diffusivity. Without a flux limiter (simulation A1) the diffusivity stays under 10^{-5} m²s⁻¹.

Fig. 15 shows the evolution of tracer variance in density space in the Drake Patch model 858 for a single tracer released with a Gaussian initial profile with half-width $\sigma_z=75$ m, using 859 the SOM advection scheme without flux limiter and a 7th-order, one-step, monotonicity 860 preserving method (Daru and Tenaud, 2004). All layers shallower than 2 km in the Drake 861 Patch are thinner than 35 m, so this tracer, centered at 1500 m is well resolved in the vertical. 862 Converting from variance in density coordinates to height coordinates using the average 863 neutral density gradient at 1500 m as $d\rho_n/dz \approx -3.8 \times 10^{-4}$ kg m⁻⁴ yields $K^{zz} < 10^{-5}$ m²s⁻¹ 864 for both advection schemes. 865

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Cruise Code	Vessel	Cruise date	Days after release
US1	R/V Roger Revelle	22 Jan to 18 Feb 2009	0
US2	R/V Thomas G. Thompson	16 Jan to 23 Feb 2010	366
UK2	RRS James Cook	7 Dec to 5 Jan 2011	687
UK2.5	RRS James Clark Ross	11–25 Apr 2011	797
US3	R/V Laurence M. Gould	13–18 Aug 2011	917

Table 1: Brief information about the DIMES Cruises.

Table 2: Observed estimates of the average rate of dispersion of the DIMES tracer over the first year on the US2 cruise track ($\sigma^2/2t$ at t=1 year in m²s⁻¹). The 95% confidence intervals are determined using bootstrapping. The first three lines report estimates using three different methods to estimate $\sigma^2(1year)$ in both latitude and streamline coordinates (see Section 4.1 and Appendix A). The last two rows report our best estimate of the diffusivity obtained by multiplying the first two rows by a model derived factor that accounts for the incomplete tracer sampling during the US2 cruise (see Section 4.3). Bins of $1/2^{\circ}$ width span from 65°S to 53°S in latitude coordinates, and from -1.75×10^4 m²s⁻¹ to 8×10^4 m²s⁻¹ in streamfunction coordinates.

Method	Latitude coordinates (y)	Stream coordinates (ψ)
Second moment	407 (323–495)	391 (227–558)
Binned second moment	524 (254–847)	476 (179–890)
Gaussian least-squares fit	708 (358–840)	665~(251 - 930)
Extrap. second moment	709 ± 257	776 ± 436
Extrap. binned second moment	648 ± 428	664 ± 520

Table 3: Modeled estimates of average rate of dispersion of the tracer ensemble over the first year using three methods and two coordinate systems ($\sigma^2/2t$ at t=1 year in m²s⁻¹). The mean value is based on the ensemble average tracer, while the upper and lower bounds (in brackets) are the maximum and minumum values from the 12 tracer release experiments. Estimates using the full tracer west of 75°W are in the top three rows and estimates using the subsampled tracer on the US2 grid are in the bottom three rows. Bins of 1/2° width span from 65°S to 53°S in latitude coordinates, and from -1.75×10⁴ m²s⁻¹ to 8×10⁴ m²s⁻¹ in streamfunction space.

Method	Latitude coordinates (y)	Stream coordinates (ψ)
Full Second moment	888 (719–966)	903 (739–998)
Full Binned second moment	887 (717–967)	905 (743–1001)
Full Binned and least-squares fit	941 (672–1062)	1056 (816–1238)
US2 Second moment	510 (349–652)	455 (327-663)
US2 Binned second moment	717 (503–989)	649 (459–768)
US2 Binned and least-squares fit	968 (495–1474)	875 (472–1324)

Parameter	Value
Vertical viscosity $(m^2 s^{-1})$	5.66×10^{-4}
Leith harmonic viscosity factor	1
Leith biharmonic viscosity factor	1.2
Vertical diffusivity (T,S) (m^2s^{-1})	1×10^{-5}
Side boundary	Free slip
Bottom boundary	No slip
Quadratic bottom drag (s^{-2})	2.5×10^{-3}
Time step (s)	120
Horizontal grid spacing (degrees)	0.05
Shear instability critical Richardson number	0.358

Table 4: Numerical parameters used in the Drake Patch simulation.



Figure 1: Caption next page.

Figure 1: (a) Map of DIMES tracer patch region showing the injection location (US1), and the column integrated tracer concentrations (circles) during subsequent cruises (US2, UK2, UK2.5, US3). The S2 two latitudinal transects at 96°W and 93°W are also referred to as 'US cruise 2A' and 'US cruise 2B'. The circle diameters are proportional to the tracer concentration. For each cruise the concentrations are normalized by the largest concentration found in that cruise. The contour plot in the background shows the column integrated concentration of a modeled tracer 365 days after release (cyan-to-red colormap). The modeled tracer concentration is also normalized by its maximum, and values less than 0.01 are shaded white. The climatological mean of the modeled sea ice extent is shown as a gray line. (b) Snapshot of the column integrated concentration from the ensemble average of 12 tracer release experiments 365 days after release. The blue 'x' marks the location of the center of mass of the DIMES tracer sampled on the US2 grid one year after release. The black 'x' is the location of the center of mass of the modeled ensemble tracer sampled only on the US2 grid, and the black '+' (beneath the black 'x') is the location of the emsemble tracer's center of mass based on the full tracer distribution.



Figure 2: Observed (circles) and simulated (x's) column-integrated tracer concentrations relative to the total amount of tracer released (units are m^{-2}) measured at individual stations during the cruises listed in Table 1 and shown in Fig. 1. Only a subset of Cruise US2 is shown: US-2A is the latitudinal transect at 96° and US-2B is the latitudinal transect at 93°. The spread in the modeled ensemble mean concentrations, shown as thin black lines, is based 61 on the maximum and minimum concentrations at each point of all 12 release experiments.



Figure 3: (a) Altimetry based (AVISO) time-mean geostrophic current speed averaged from 1993 to 2011. Regions around Antarctica where the AVISO data were sometimes missing during the averaging period are left white. (b) Modeled time mean current speed averaged over model integration years 6, 7 and 8. White regions around Antarctica indicate maximum sea ice extent over the 3 year period. The two faint dashed lines are the locations of WOCE and CLIVAR sections P18, P19, and A21 shown in Fig. 14.



Figure 4: (a) AVISO geostrophic eddy current speeds ($EKE^{1/2}$) and (b) modeled eddy current speeds. The EKE is defined as the temporal fluctuation about the averages shown in Fig. 3.



Figure 5: Comparison of simulated vertical structure of current speed $(KE^{1/2})$ (black lines) against FDRAKE mooring data from the late 1970's (red lines). The location of each FDRAKE mooring is plotted in the inset. The average length of the mooring data is 320 days. The black line with the largest EKE in the model is from the northernmost mooring location.



Figure 6: (a) Modeled average $(\mu = N^{-1}\sum c_i)$ and (c) standard deviation $(s_N = \sqrt{(N-1)^{-1}\sum (c_i - \mu)^2})$ of the column integrated tracer concentration at the US2 cruise track locations versus time. The tracer concentrations are normalized by the total amount of tracer released, hence the units are m⁻². The red 'x' shows the observed tracer concentration from the DIMES US2 cruise, with the red line indicating a 95% confidence interval using bootstrapping. Gray shading indicates the minimum and maximum values from the 12 tracer releases from the ensemble. (b) and (d) show the same means and standard deviations, but at the times listed in Table 1 for the 4 DIMES cruises. The UK2 and UK2.5 cruises have been split into individual transects from west to east (K2A, K2B, K2C and K2.5A and K2.5B respectively. US2 and US3 transects are represented by S2 and S3. Notice that we used a logarithmic scale in these two panels, because the concentrations drop substantially two to three years after injection.



Figure 7: Comparison of the average rate of dispersion using: the full model ensemble average tracer $\sigma_y^2|_{model \ full}/2t$ (black line), the ensemble average tracer subsampled on the US2 cruise stations $\sigma_y^2|_{model \ US2}/2t$ (red line) and the observed DIMES tracer during US2 $\sigma_y^2|_{DIMES}/2t$ (red 'x'). The gray shading indicates the minima and maxima from the 12 release experiments. A 95% confidence interval on the DIMES tracer is estimated using bootstrapping. The blue circle and the blue error bar indicates the extrapolated estimate of the average rate of dispersion over the first year of the DIMES tracer using Eqs. 8 and 9.



Figure 8: (a) Dispersion σ_y^2 of the ensemble mean tracer in the simulation versus time (blue line). The red line marks the average dispersion in the first year after release, with slope $\sigma_y^2(t)/2t$ where t = 365, and the black line marks a least-squares fit to the dispersion from t = 100 d to t = 500 d. (b) The slopes of the red and black lines in (a) are plotted in (b) as solid red and black lines. The slopes of each of the 12 tracer release experiments in the ensemble are plotted as red and black x's.



Figure 9: Dispersion σ_y^2 from model tracers released at depths near 500 m, 1 km, 1.5 km, and 2 km (blue lines). The red lines are the average dispersion over the first year and the black lines are the least-squares fit dispersion between t = 100 d and t = 500 d as in Fig. 8.



Figure 10: (a) Estimates of the vertical structure of the isopycnal eddy diffusivity upstream of 75° W at various depths. The eddy diffusivity is estimated as the least-squares fit dispersion between day 100 and day 500 (see Fig. 9). The estimates from the ensemble average tracer released at 1500 m is indicated as a black 'x' with the error bar showing the minimum and maximum values from the 12 release experiments. The blue circle and line are the observational estimate with its uncertainty. (b) Model estimate of the mean flow, U(z), eddy phase speed, c, and $EKE^{1/2}$, all averaged between 61° S and 56° S and between 110° W and 80° W.


Figure 11: Streamline coordinate system. The s coordinate is along streamlines, the n coordinate is normal to it. The area of the patch dA in streamline coordinates is indicated.



Figure 12: Three model based estimates (left to right) of eddy diffusivity at 1500 m in latitude coordinates (top) and streamline coordinates (bottom). The eddy diffusivity is determined as the growth rate of the second moment of the tracer concentration. The three estimates of the second moment in latitude coordinates are: the second moment averaged over the whole area occupied by the tracer $\sigma_y^2 = \langle y^2 c \rangle / \langle c \rangle$ (left), meridional binning followed by second moment $\sigma_y^2 = \sum y^2 \int c \, dx / \sum \int c \, dx$ (middle), and meridional binning followed by a least-squares fit to a Gaussian using gradient descent (right). The thick black line are estimated based on the ensemble average tracer \bar{c} , while the grey lines are estimates based on the 12 individual tracer release experiments.



Figure 13: Three estimates (left to right) of diffusivity at 1500 m in the model using tracer subsampled on the US2 station locations, in latitude coordinates (top) and streamline coordinates (bottom). The eddy diffusivity is determined as the growth rate of the second moment of the tracer concentration. The three estimates of the second moment (in latitude coordinates) are: the second moment $\sigma_y^2 = \sum_i y_i^2 \overline{c}_i / \sum_i \overline{c}_i$ (left); the meridionally binned second moment $\sigma_y^2 = \sum_j (y_j^2 \sum_i \overline{c}_i) / \sum_i (\sum_i \overline{c}_i)$ where j is a sum over bins and i is a sum over points within each bin (middle); the least-squares fit to a Gaussian after binning meridionally.



Figure 14: Comparison of neutral density from (left) WOCE and CLIVAR sections P18 (top), P19 (middle), and A21 (bottom) with (right) the Drake Patch model at 103°W (top), 88°W (middle), and near (68°W, 61°S) following A21 (bottom). The CTD profiles were collected December to January 2007-2008 (P18), December to March 1992-1993 (P19) and late January 1990 (A21), and were plotted as a section using Delaunay triangulation with cubic interpolation. The CTD sections were downloaded from the electronic atlas at http://cchdo.ucsd.edu/data/co2clivar/pacific, subdirectories a21, p17, p18, and p19. The modeled sections are snapshots on January 19 of the 6th year of integration for P18, the southern part of P19 and A21, and February 73 for the northern part of P19. The blue lines track the neutral density surface 27.9 kg m⁻³ along which the DIMES tracer was injected.



Figure 15: Evolution of the variance of the tracer spread in density space for a tracer that was injected with a Gaussian concentration in the vertical, advected by advection schemes of Prather (1986) and Daru and Tenaud (2004). The squared half-width $\sigma_{\rho}(t)^2$ (indicated as continuous lines) is for a Gaussian fitted to the vertical profile of the tracer after integration along neutral density surfaces. A diapycnal eddy diffusivity is estimated as half the growth rate of $\sigma_{\rho}(t)^2$ (dashed lines). Converting into z-coordinates both schemes imply diapycnal mixing $K^z < 10^{-5} \text{ m}^2 \text{s}^{-1}$.

¹⁰³⁰ Figure Captions

Fig. 1: (a) Map of DIMES tracer patch region showing the injection location (US1), and 1031 the column integrated tracer concentrations (circles) during subsequent cruises (US2, UK2, 1032 UK2.5, US3). The S2 two latitudinal transects at 96°W and 93°W are also referred to as 1033 'US cruise 2A' and 'US cruise 2B'. The circle diameters are proportional to the tracer con-1034 centration. For each cruise the concentrations are normalized by the largest concentration 1035 found in that cruise. The contour plot in the background shows the column integrated con-1036 centration of a modeled tracer 365 days after release (cyan-to-red colormap). The modeled 1037 tracer concentration is also normalized by its maximum, and values less than 0.01 are shaded 1038 white. The climatological mean of the modeled sea ice extent is shown as a gray line. (b) 1039 Snapshot of the column integrated concentration from the ensemble average of 12 tracer 1040 release experiments 365 days after release. The blue 'x' marks the location of the center of 1041 mass of the DIMES tracer sampled on the US2 grid one year after release. The black 'x' is 1042 the location of the center of mass of the modeled ensemble tracer sampled only on the US2 1043 grid, and the black '+' (beneath the black 'x') is the location of the emsemble tracer's center 1044 of mass based on the full tracer distribution. 1045

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